

and funding levels; therefore, new proposals, certifications and technical evaluations are required prior to the execution of a grant renewal. Continued performance within a period specified under the Multiple Year Grants special condition does not constitute a renewal. Peer review of the continuing research should be accomplished prior to selecting a research grant for renewal. The Multiple Year Grant special condition may be incorporated into renewals.

(b) *Funding arrangements.* While NASA normally provides full funding support for research grants, alternate methods of grant funding are as follows:

(1) *Cost sharing.* Since NASA grant recipients usually gain no measurable benefit from grants other than conducting research, cost sharing for research grants is not generally required. NASA may, however, accept cost sharing when voluntarily offered. Additionally, in instances when the Grant Officer determines that the recipient will benefit from research results through sales to non-Federal entities, cost sharing based upon this mutuality of interest will apply (see § 1260.123). When cost sharing is used, the Grant Officer shall insert a special condition substantially as shown in § 1260.54, "Cost Sharing."

(2) *Partial support.* NASA may provide partial support for a research project or conference where additional funding is being provided by other Federal agencies. If the grant also involves cost sharing by the recipient, the Grant Officer will ensure that the recipient's share does not include any Federal funds.

[62 FR 63452, Dec. 1, 1997]

§ 1260.14 Limitations.

(a) As a matter of policy, NASA does not award grants to commercial firms. However, Cooperative Agreements may be awarded to commercial firms in accordance with § 1260.300.

(b) NASA does not award grants for donative assistance purposes, but only to meet program objectives. Research in any academic discipline related to NASA interests normally will qualify. However, advice of legal counsel should be sought in unusual situations, or

when unusual project activities or organizational attributes are evident.

(c) It is NASA's policy that non-monetary (zero dollar) grants or cooperative agreements shall not be used (except for no-cost extensions). Loans of Government personal property not associated with a contract, grant, or cooperative agreement under 31 U.S.C. 6301 to 6308, and made under the Space Act of 1958, should be consummated as loan agreements.

(d) Grants and cooperative agreements shall not be used as legal instruments for consulting service arrangements (see 48 CFR subpart 37.2 (FAR) and 48 CFR subpart 18237.2 (NFS)).

§ 1260.15 Format and numbering.

(a) *General.* A grant shall be brief, containing only those provisions and special conditions necessary to protect the interests of the Government.

(b) *Formats.* Those shown in exhibit B of the appendix to this subpart A shall be used for the award of all NASA grants and cooperative agreements. Computer-generated versions are allowed. Provisions for grants with U.S. organizations shall be incorporated by reference, and preprinted checklists may be used. Special conditions and provisions for grants with foreign organizations will be printed in full text. An acceptance block may be added when the grant officer finds it necessary to require bilateral execution of the grant. When attaching detailed budgets, salaries will not be revealed.

(c) *The identification numbering system.* (1) For research, education, and facilities grants, numbering shall conform to NFS 48 CFR 1804.7102-3, except that a NAG prefix will be used in lieu of the NAS prefix. The prefix designation will include the Center Identification Number; e.g., NAG5 would be the Goddard prefix designation. They will be sequentially numbered.

(2) Cooperative agreements will use the prefix NCC plus the Center Identification Number. They will be sequentially numbered.

(3) Training grants will use the prefix NGT plus the Center Identification Number. They will be sequentially numbered.

§ 1260.16

(4) The Catalog of Federal Domestic Assistance (CFDA) Numbers does not apply to NASA grants.

§ 1260.16 Distribution.

Copies of grants and supplements will be provided to: payment offices (original copy); technical officers; administrative grant officers when delegated; the NASA Center for AeroSpace Information (CASI), Attn: Document Processing Section, 800 Elkridge Landing Road, Linthicum Heights, Maryland 21090-2934; and other appropriate offices. The statement of work accepted by NASA and a copy of the approved budget will be provided to the administrative grant officers. CASI will also receive the statement of work. The file will record the addresses for distribution.

PROVISIONS

§ 1260.20 Provisions.

(a) The provisions set forth in §§ 1260.21 through 1260.37 shall be incorporated by reference and made a part of NASA research grants, education grants, and cooperative agreements with U.S. educational institutions and nonprofit organizations.

(b) In training grants, the provisions of the following section will be incorporated: §§ 1260.22, 1260.23, 1260.25, 1260.26, 126.28, 1260.29, 1260.31, and 1269.35.

(c) In facilities grants, provisions will be selected on a case-by-case basis.

(d) In research grants awarded to foreign organizations, the provisions of the following sections, modified as necessary, will be in full text: §§ 1260.21, 1260.22, 1260.23, 120.24, 1260.26, 1260.27, 1260.32, and 1260.36. References will not be used to handbooks, statutes, or other regulations which the recipient may neither have access to or can realistically be expected to comply.

(e) Whenever the word *grant* appears in sections §§ 1260.21 through 1260.38, it shall be deemed to include, as appropriate, the term *cooperative agreement*.

(f) Section 1260.148 and appendix A to subpart B to part 1260 address provisions to be used in contracts awarded by recipients.

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§ 1260.21 Publications and reports.

PUBLICATIONS AND REPORTS (JULY 1996)

(a) NASA encourages the widest practicable dissemination of research results at any time during the course of the investigation. All information disseminated as a result of the grant shall contain a statement which acknowledges NASA's support and identifies the grant by number (e.g., "The material is based upon work supported by NASA under award No(s) _____").

(b) Reports shall be in the English language, informal in nature, and ordinarily not exceed three pages (not counting bibliographies, abstracts, and lists of other media). The recipient shall submit the following reports:

(1) A Performance Report for all but the final year of the grant. Each report is due 60 days before the anniversary date of the grant and shall briefly describe what was accomplished during the reporting period as outlined in § 1260.151(d). A special provision specifying more frequent reporting may be required.

(2) A Summary of Research (or Educational Activity Report in the case of Education Grants) is due within 90 days after the expiration date of the grant, regardless of whether or not support is continued under another grant. This report shall be comprehensive summary of significant accomplishments during the duration of the grant.

(c) Performance Reports, Summaries of Research, and Education Activity Reports shall include the following on the first page:

(1) Title of the grant.

(2) Type of report.

(3) Name of the principal investigator.

(4) Period covered by the report.

(5) Name and address of the recipient's institution.

(6) Grant number.

(e) Performance Reports, Summaries of Research, and Education Activity Reports shall be distributed as follows:

(1) The Original to the administration grant officer, with a notice to the grant officer that a report was sent.

(2) One copy, in both hard copy and electronic format, to the technical officer.

(f) For Summaries of Research and published reports, one Micro-reproducible copy shall be sent to the NASA Center for AeroSpace Information (CASI), Attn: Accessioning Department, 800 Elkridge Landing Road, Linthicum Heights, Maryland 21090-2934.

§ 1260.22 Extensions.

EXTENSIONS (JULY 1996)

(a) It is NASA policy to provide maximum possible continuity in funding grant-supported research and educational activities,